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DATE: August 18, 2008

PTO IDENTIFIER: Application Number 10/573,888-Conf. #9923
Patent Number

Inventor: Hiroyuki Tsuda

MESSAGE TO: US Patent and Trademark Office

FAX NUMBER: (571) 273-8300

FROM: EDWARDS ANGELL PALMER & DODGE LLP

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Attorney Dkt. #: 80250(302741)

PAGES (Including Cover Sheet): 4

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Statement By Assignee to Establish Ownership (37 CFR 3.73(b)) (1 page)
Certificate of Transmission (1 page)

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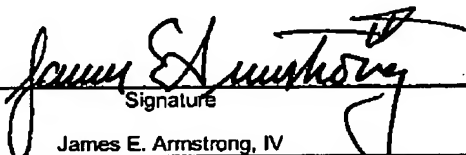
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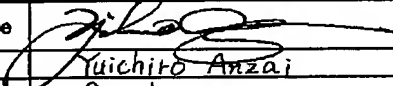
Assignee Name and Address:

Kelo University
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Tokyo, 108-8345, Japan

A copy of this form, together with a statement under 37 CFR 3.73(b) (Form PTO/SB/86 or equivalent) is required to be filed in each application in which this form is used. The statement under 37 CFR 3.73(b) may be completed by one of the practitioners appointed in this form if the appointed practitioner is authorized to act on behalf of the assignee, and must identify the application in which this Power of Attorney is to be filed.

SIGNATURE of Assignee of Record

The individual whose signature and title is supplied below is authorized to act on behalf of the assignee

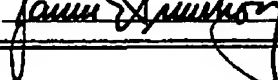
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STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: KEIO University

Application No./Patent No.: 10/573,888 Filed/Issue Date: November 13, 2006

Entitled: OPTICAL FUNCTIONAL WAVEGUIDE, OPTICAL MODULATOR, ARRAYED WAVEGUIDE GRATING, AND DISPERSION COMPENSATION CIRCUIT

KEIO University, a _____,
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
(The extent (by percentage) of its ownership interest is _____ %)

In the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 018519, Frame 0101, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

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☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.
[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

James E. Armstrong, IV
Signature

James E. Armstrong, IV
Printed or Typed Name

Authorized Signer for Assignee
Title

Aug 18, 2008
Date

202-478-7370
Telephone Number